

Electronic Acknowledgement Receipt

EFS ID:	14906597
Application Number:	09465607
International Application Number:	
Confirmation Number:	9080
Title of Invention:	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
First Named Inventor/Applicant Name:	TIMOTHY M. KEISER
Customer Number:	63710
Filer:	Thomas Damon Bradshaw/Andrew Hsu
Filer Authorized By:	Thomas Damon Bradshaw
Attorney Docket Number:	98-HSX001-C1
Receipt Date:	07-FEB-2013
Filing Date:	17-DEC-1999
Time Stamp:	17:14:40
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1260
RAM confirmation Number	4684
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part/.zip	Pages (if appl.)
1	Reply Brief Filed	98-HSX001-C1_130207_Reply_Brief.pdf	40548 f65c80ccedbf3c30b69262015708bc1c6d4634	no	4

Warnings:

Information:

2	Request for Oral Hearing	98-HSX001-C1_130207_Request_for_Oral_Hearing.pdf	248108 fb2f6934c9cef792a064978129a62610f50d	no	2
---	--------------------------	--	--	----	---

Warnings:

Information:

3	Fee Worksheet (SB06)	fee-info.pdf	30727 bad8f97c609108c764ba38171fafe7ed95a116ed	no	2
---	----------------------	--------------	---	----	---

Warnings:

Information:

Total Files Size (in bytes): 319383

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.